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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☒ The attached application, or  
☐ Application No. \_\_\_\_\_, filed on \_\_\_\_\_,  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**Inventor one: JOHN R. GUSTAFSONSignature: *John R. Gustafson*Citizen of: United StatesInventor two: JONATHAN M. GARBARINOSignature: *Jonathan M. Garbarino*Citizen of: United States

Inventor three: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: \_\_\_\_\_

Inventor four: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: \_\_\_\_\_

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/81 (10-00)

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	UNKNOWN
Filing Date	HEREWITH
First Named Inventor	JOHN R. GUSTAFSON
Group Art Unit	UNKNOWN
Examiner Name	UNKNOWN
Attorney Docket Number	K35A0811

I hereby appoint:

☐ Practitioners at Customer Number  →

*Place Customer  
Number Bar Code  
Label here*

☒ Practitioner(s) named below:

Name	Registration Number
Won Tae C. Kim, Esq.	40,457
Milad G. Shara, Esq.	39,367

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

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☐ Firm or  
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).*

### SIGNATURE of Applicant or Assignee of Record

Name	JOHN R. GUSTAFSON
Signature	<i>John R Gustafson</i>
Date	<i>April 27, 2001</i>

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 2 forms are submitted.

Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (10-00)

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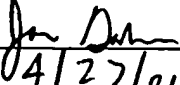
Fax

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☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name	JONATHAN M. GARBARINO
Signature	
Date	4/27/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 2 forms are submitted.



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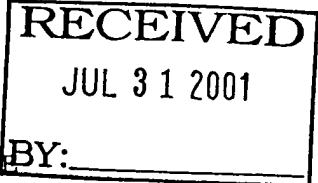
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Washington, D.C. 20231

JULY 26, 2001

PTAS  
WESTERN DIGITAL TECHNOLOGIES, INC.  
WON TAE C. KIM  
20511 LAKE FOREST DRIVE  
INTELLECTUAL PROPERTY DEPARTMENT-LOC C2  
LAKE FOREST, CA 92630-7741



\*101713987A\*



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RECORDATION DATE: 04/30/2001

REEL/FRAME: 011779/0746  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GUSTAFSON, JOHN R.

DOC DATE: 04/27/2001

ASSIGNOR:

GARBARINO, JONATHAN M.

DOC DATE: 04/27/2001

ASSIGNEE:

WESTERN DIGITAL TECHNOLOGIES, INC.  
20511 LAKE FOREST DRIVE  
LAKE FOREST, CALIFORNIA 92630-7741

SERIAL NUMBER: 09846749  
PATENT NUMBER:

FILING DATE: 04/30/2001  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

05-14-2001



101713987

FORM PTO-1619A  
Expires 06/30/99  
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PATENTS ONLY**

K35A0811

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U.S. PTO  
09/846749  
64/30/01

**Conveying Party(ies)**☒ Mark if additional names of conveying parties attached

Name (line 1) JOHN R. GUSTAFSON

Name (line 2) 

Execution Date  
Month Day Year  
04-27-2001

**Second Party**

Name (line 1) JONATHAN M. GARBARINO

Name (line 2) 

Execution Date  
Month Day Year  
04-27-2001

**Receiving Party**☐ Mark if additional names of receiving parties attached

Name (line 1) WESTERN DIGITAL TECHNOLOGIES, INC.

Name (line 2) 

Address (line 1) 20511 Lake Forest Drive

Address (line 2) 

Address (line 3) Lake Forest

California

92630-7741

City

State/Country

Zip Code

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**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

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**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

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**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

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**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

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<input type="text"/>	<input type="text"/>	<input type="text"/>

Patent Number(s)

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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:  
Deposit Account

Enclosed ☐ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

Yes ☒ No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Won Tae C. Kim, Esq. Reg. 40,457



4/30/01

Name of Person Signing

Signature

Date

ASSIGNMENT

Whereas, I, JOHN R. GUSTAFSON, a United States citizen, residing at Los Gatos, California, have invented certain new and useful improvements in SPINDLE MOTOR HAVING SPINDLE MOTOR STATOR FOR INCREASED HEAD STACK ASSEMBLY ACCESS (hereafter "Improvements") for which I have executed an application for a United States Patent filed on Herewith, Application No. Unknown (hereafter "Application"); and

Whereas, Western Digital Technologies, Inc. (hereafter "Assignee"), a Delaware Corporation, desires to acquire the entire right, title, and interest in and to the Improvements and the Application.

Now, therefore, in consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the inventor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the Assignee, its successors, legal representatives and assignees, the entire right, title, and interest throughout the world in, to and under the Improvements, and the Application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereof and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for the Improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patents for the Improvements to the Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I hereby covenant and agree that I will communicate to the Assignee, its successors, legal representatives and assigns, any facts known to me respecting the Improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and aid the Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Improvements in all countries.

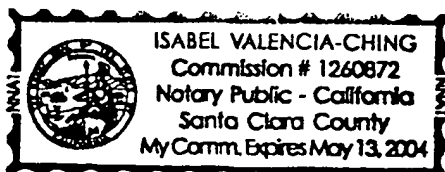
John R Gustafson      4-27-01  
JOHN R. GUSTAFSON      Date

State of California      )  
County of Santa Clara      ) ss.

On April 27, 2001, before me, Isabel Valencia-Ching, Notary Public, personally appeared JOHN R. GUSTAFSON and proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person executed the instrument.

Witness my hand and official seal.

Isabel Valencia-Ching  
Notary Public



ASSIGNMENT

Whereas, I, JONATHAN M. GARBARINO, a United States citizen, residing at San Jose, California, have invented certain new and useful improvements in SPINDLE MOTOR HAVING SPINDLE MOTOR STATOR FOR INCREASED HEAD STACK ASSEMBLY ACCESS (hereafter "Improvements") for which I have executed an application for a United States Patent filed on Herewith, Application No. Unknown (hereafter "Application"); and

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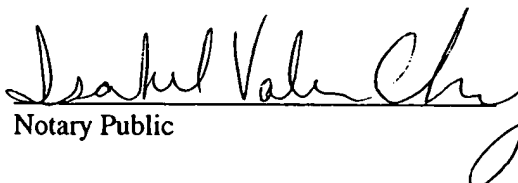
I hereby covenant and agree that I will communicate to the Assignee, its successors, legal representatives and assigns, any facts known to me respecting the Improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and aid the Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Improvements in all countries.

  
JONATHAN M. GARBARINO  
4/27/01  
Date

State of California )  
County of Santa Clara ) ss.

On April 27, 2001, before me, Isabel Valencia-Ching, Notary Public, personally appeared JONATHAN M. GARBARINO and proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person executed the instrument.

Witness my hand and official seal.

  
Notary Public

